PTO/SB/21 (07-06)

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# **TRANSMITTAL FORM**

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Total Number of Pages in This Submission

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Application Number	09/506,717-Conf. #9161
Filing Date	February 18, 2000
First Named Inventor	Harold E. Helson
Art Unit	1631
Examiner Name	E. S. Dejong
Attorney Docket Number	0103544.00135US2

ENCLOSURES (Check all that apply)						
Fee Transn	nittal Form	Drawing(s)		After Allowance Communication to TC		
Fee A	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendmer	nt/Reply	Petition	] [	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After	Final	Petition to Convert to a Provisional Application		Proprietary Information		
Affida	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Add	lress [	Status Letter		
Extension	of Time Request	Terminal Disclaimer	[	X Other Enclosure(s) (please Identify below):		
Express Abandonment Request		Request for Refund		Form PTO-SB/08 Non-U.S. Patent References		
x Information Disclosure Statement		CD, Number of CD(s)		Return Postcard		
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Reply 37 CI	y to Missing Parts under FR 1.52 or 1.53					
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Signature	Der					
Printed name	Donald R. Steinberg	J				
Date	September 19, 2006	Re	g. No.	37,241		

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Anthony W. Sutton) Dated: September 19, 2006

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Dated: September 19, 2006 Signature

Docket No.: 0103544.00135US2

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Harold E. Helson

Confirmation No.:

9161

Application No.:

09/506,717

Art Unit:

1631

Filed:

February 18, 2000

Examiner:

E. S. Dejong

Title:

DERIVING FIXED BOND INFORMATION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants bring to the attention of the Examiner the documents listed on the attached Form SB/08.

This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action on the merits. No fee is required.

Applicants request that the Examiner initial and return a copy of the enclosed Form PTO SB/08 with the next communication.

5847488 US1DOCS 5847488v1 Application No.: 09/506,717 Docket No.: 0103544.00135US2

Respectfully submitted,

Dated: September 19, 2006

Donald R. Steinberg Registration No.: 37,241 Attorney for Applicant(s)

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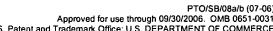
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of 6

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Examiner	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documer	Relev	es, Columns, Lines, Where yant Passages or Relevan
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				Application Number	09/506,717-Conf. #9161
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S	STATEMENT BY APPLICANT			First Named Inventor	Harold E. Helson
				Art Unit	1631
(Use as many sheets as necessary)		Examiner Name	E. S. Dejong		
Sheet	2	of	6	Attorney Docket Number	0103544.00135US2

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	ВА	WO-99/58474	11-18-1999	Swayze et al.					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
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Signature Considered Considered	Examiner	Date	
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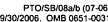
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of

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First Named Inventor	Harold E. Helson			
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s	Substitute for form 1449A/B/PTO			Complete if Known		
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			Art Unit	1631		
(Use as many sheets as necessary)		Examiner Name	E. S. Dejong			
Shee	t 4	of	6	Attorney Docket Number	0103544.00135US2	

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Examiner	Date	
Signature	Considered	

Sheet

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Substitute for form 1449A/B/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)				Complete if Known		
				Application Number	09/506,717-Conf. #9161	
				Filing Date	February 18, 2000	
				First Named Inventor	Harold E. Helson	
				Art Unit	1631	
				Examiner Name	E. S. Dejong	
Sheet	6	of	6	Attorney Docket Number	0103544.00135US2	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Conside	ered

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.